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3

NEW UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

ADAPTIVELY ENCODING A PICTURE OF CONTRASTED COMPLEXITY HAVING NORMAL VIDEO AND NOISY VIDEO PORTIONS

and invented by:

Hall et al.

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. Filing fee as calculated and transmitted as described below
2. Specification having 40 pages and including the following:
 - a. Descriptive Title of the Invention
 - b. Cross References to Related Applications (*if applicable*)
 - c. Statement Regarding Federally-sponsored Research/Development (*if applicable*)
 - d. Reference to Microfiche Appendix (*if applicable*)
 - e. Background of the Invention
 - f. Brief Summary of the Invention
 - g. Brief Description of the Drawings (*if drawings filed*)
 - h. Detailed Description
 - i. Claim(s) as Classified Below
 - j. Abstract of the Disclosure

3. Drawing(s) (*when necessary as prescribed by 35 USC 113*)
 - a. Formal
 - b. Informal

Number of Sheets Eight (8)

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Application Elements (Continued)

4. Oath or Declaration
 - a. Newly executed (*original or copy*) Unexecuted
 - b. Copy from a prior application (37 CFR 1.63(d)) (*for continuation/divisional application only*)
 - c. With Power of Attorney Without Power of Attorney
5. Incorporation By Reference (*usable if Box 4b is checked*)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Computer Program in Microfiche (*Appendix*)
7. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all must be included*)
 - a. Paper Copy
 - b. Computer Readable Copy (*identical to computer copy*)
 - c. Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. Assignment Papers (*cover sheet & document(s)*)
9. 37 CFR 3.73(B) Statement (*when there is an assignee*)
10. English Translation Document (*if applicable*)
11. Information Disclosure Statement/PTO-1449 Copies of IDS Citations
12. Preliminary Amendment
13. Acknowledgment postcard
14. Certificate of Mailing
 First Class Express Mail (*Specify Label No.*): EM589153233US
15. Certified Copy of Priority Document(s) (*if foreign priority is claimed*)

NEW UTILITY PATENT APPLICATION TRANSMITTAL
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Accompanying Application Parts (Continued)

Additional Enclosures (please identify below):

Information Disclosure Citation w/references (6 cited)

03/20/98
10583 U.S. PTO

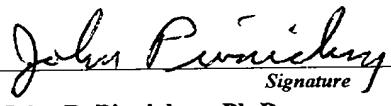
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CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	38	- 20 =	18	x \$22.00	\$396.00
Indep. Claims	6	- 3 =	3	x \$82.00	\$246.00
Multiple Dependent Claims (check if applicable)	<input type="checkbox"/>				\$0.00
				BASIC FEE	\$790.00
OTHER FEE (specify purpose)					\$0.00
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In Re Application of: Hall et al.

Title: ADAPTIVELY ENCODING A PICTURE OF CONTRASTED COMPLEXITY
HAVING NORMAL VIDEO AND NOISY VIDEO PORTIONS

Attorney Docket No.: EN998028

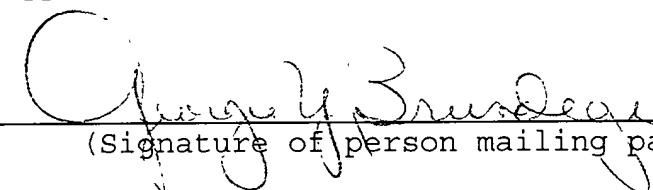
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Enclosed: New Utility Patent Application Transmittal Letter (Large Entity) (3 pp.) (in duplicate)
U.S. Patent Application -
Specification (23 pp.); Claims (16 pp.);
Abstract (1 p.)
Formal Drawings (8 sheets)
Declaration and Power of Attorney (3 pp.)
(unsigned) (x signed)
Assignment w/Recordation Cover Sheet (2 pp.)
Information Disclosure Statement (1 p.)
Information Disclosure Citation w/references
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EN998028

**APPLICATION
FOR
UNITED STATES LETTERS PATENT**

INTERNATIONAL BUSINESS MACHINES CORPORATION

ADAPTIVELY ENCODING A PICTURE OF
CONTRASTED COMPLEXITY HAVING NORMAL
VIDEO AND NOISY VIDEO PORTIONS

Technical Field

5 This invention relates in general to compression of digital visual images, and more particularly, to a technique for encoding one or more frames of contrasted complexity within a video sequence using image statistics derived from the frame(s) to
10 dynamically change one or more controllable encoding parameter(s) used in encoding the frame(s).

Background of the Invention

Within the past decade, the advent of world-wide electronic communications systems has enhanced the
15 way in which people can send and receive information. In particular, the capabilities of real-time video and audio systems have greatly improved in recent years. However, in order to provide services such as video-on-demand and video conferencing to
20 subscribers, an enormous amount of network bandwidth is required. In fact, network bandwidth is often the main inhibitor in the effectiveness of such systems.

In order to overcome the constraints imposed by networks, compression systems have emerged. These
25 systems reduce the amount of video and audio data which must be transmitted by removing redundancy in the picture sequence. At the receiving end, the picture sequence is uncompressed and may be displayed in real-time.

One example of a video compression standard is the Moving Picture Experts Group ("MPEG") standard. Within the MPEG standard, video compression is defined both within a given picture and between 5 pictures. Video compression within a picture is accomplished by conversion of the digital image from the time domain to the frequency domain by a discrete cosine transform, quantization, and variable length coding. Video compression between pictures is 10 accomplished via a process referred to as motion estimation and compensation, in which a motion vector plus difference data is used to describe the translation of a set of picture elements (pels) from one picture to another.

15 The ISO MPEG-2 standard specifies only the syntax of bitstream and semantics of the decoding process. The choice of coding parameters and trade-offs in performance versus complexity are left to the encoder developers.

20 One aspect of the encoding process is compressing a digital video image into as small a bitstream as possible while still maintaining video detail and quality. The MPEG standard places limitations on the size of the bitstream, and 25 requires that the encoder be able to perform the encoding process. Thus, simply optimizing the bit rate to maintain desired picture quality and detail can be difficult.

30 A video picture typically contains both busy and simple macroblock segments, and there is a high correlation between the segments. However, certain

video frames are of highly contrasted complexity having, e.g., both normal video and noisy (or random) video portions within the frame, such as DIVA. Further, both the normal (or simple) video portion 5 and the noisy portion are often moving from frame to frame. Within such a frame, most of the encode bits can be consumed by macroblocks of the noisy portion before picture coding is completed, thereby producing blockiness or artifacts within the picture and uneven 10 output picture quality.

This invention thus seeks to enhance picture quality of an encoded video sequence having one or more pictures with areas of significantly contrasted complexity, and more particularly, to enhance picture 15 quality by dynamically balancing picture bit allocation as the picture coding continues without requiring lengthy buffering or high computational intelligence.

Disclosure of the Invention

20 Briefly summarized, the invention comprises in a first aspect a method for encoding a video frame having a noisy portion and a normal video portion. The method includes for each macroblock of the frame: 25 determining a macroblock activity level; determining whether the macroblock activity level exceeds a predefined threshold, wherein the macroblock activity level exceeding the predefined threshold indicates that the macroblock is associated with the noisy portion of the video frame; and adjusting encoding of 30 the macroblock when the macroblock activity level exceeds the threshold to conserve bits used in

encoding the macroblock and thereby reduce the number of bits used to encode macroblocks within the noisy portion of the video frame.

In another aspect, a method is presented for
5 encoding a frame of a sequence of video frames, each frame having a plurality of macroblocks. The method includes: determining whether the frame includes a random noise portion; and when the frame does include a random noise portion, evaluating each macroblock of
10 the plurality of macroblocks in the frame and adjusting encoding of at least some macroblocks within the random noise portion of the frame, the adjusting of encoding comprising conserving bits used in encoding the at least some macroblocks within the
15 random noise portion of the frame.

In still another aspect, a system for encoding a frame having a noisy portion is provided. The system includes means for determining a macroblock activity level and means for determining when the macroblock activity level exceeds a predefined threshold. The macroblock activity level exceeding the predefined threshold is indicative that the macroblock is associated with the noisy portion of the frame. The system further includes means for adjusting encoding of the macroblock when the macroblock activity level exceeds the predefined threshold in order to reduce bits used in encoding the macroblock, and thereby conserve bits otherwise used to encode macroblocks within the noisy portion of the frame.
20
25

30 In a further aspect, a system is provided for encoding a frame of a sequence of frames. This

system includes a pre-encode processing unit for determining whether the frame includes a random noise portion, and a control and encode unit for evaluating each macroblock of a plurality of macroblocks

5 comprising the frame when the frame includes the random noise portion. The control and encode unit includes means for adjusting encoding of at least some macroblocks within the random noise portion of the frame to reduce bits used in encoding the

10 macroblocks within the random noise portion.

In still other aspects, the concepts presented herein are implemented within computer program products having computer usable medium with computer readable program code means therein for use in

15 encoding a frame as summarized above.

Advantageously, processing in accordance with the present invention prevents noisy macroblocks or blocks with random details from consuming all or most of the picture bits, which in turn prevents

20 overproduction of bits before the encoder reaches the bottom of the picture. This invention essentially directs encode bits from the random, busy macroblocks to the simpler, normal macroblocks. Less bits are used in the highly active and fine detailed area,

25 thereby providing a more constant picture quality.

Brief Description of the Drawings

The above-described objects, advantages and features of the present invention, as well as others, will be more readily understood from the following

30 detailed description of certain preferred embodiments

of the invention, when considered in conjunction with the accompanying drawings in which:

Fig. 1 shows a flow diagram of a generalized MPEG-2 compliant encoder 11, including a discrete cosine transformer 21, a quantizer 23, a variable length coder 25, an inverse quantizer 29, an inverse discrete cosine transformer 31, motion compensation 41, frame memory 42, and motion estimation 43. The data paths include the i^{th} picture input 111, difference data 112, motion vectors 113 (to motion compensation 41 and to variable length coder 25), the picture output 121, the feedback picture for motion estimation and compensation 131, and the motion compensated picture 101. This figure has the assumptions that the i^{th} picture exists in frame memory or frame store 42 and that the $i+1^{\text{th}}$ is being encoded with motion estimation.

Fig. 2 illustrates the I, P, and B pictures, examples of their display and transmission orders, and forward, and backward motion prediction.

Fig. 3 illustrates the search from the motion estimation block in the current frame or picture to the best matching block in a subsequent or previous frame or picture. Elements 211 and 211' represent the same location in both pictures.

Fig. 4 illustrates the movement of blocks in accordance with the motion vectors from their position in a previous picture to a new picture, and the previous picture's blocks adjusted after using motion vectors.

Fig. 5 depicts one embodiment of a frame of contrasted complexity having normal video and noisy random video portions to be processed in accordance with the adaptive encoding of the present invention.

5 **Fig. 6** shows a generalized encode system 300 in accordance with the present invention. System 300 includes pre-encode statistics analysis 310 to determine whether an input picture comprises a picture of contrasted complexity and based thereon 10 whether one or more encoding parameters should be varied for individual macroblocks of the picture. The modified encoding parameters are used by encode engine 320 in encoding the individual macroblocks of the picture.

15 **Fig. 7** is a flowchart of one embodiment of identifying a current frame of a sequence of video frames as comprising a frame with a noisy or random portion for processing in accordance with the present invention.

20 **Fig. 8** is a flowchart of one embodiment of adaptively encoding a picture having a noisy video portion in accordance with the present invention.

Best Mode for Carrying Out the Invention

The invention relates, for example, to MPEG 25 compliant encoders and encoding processes such as described in "Information Technology-Generic coding of moving pictures and associated audio information: Video," Recommendation ITU-T H.262, ISO/IEC 13818-2, Draft International Standard, 1994. The encoding

functions performed by the encoder include data input, spatial compression, motion estimation, macroblock type generation, data reconstruction, entropy coding, and data output. Spatial compression 5 includes discrete cosine transformation (DCT), quantization, and entropy encoding. Temporal compression includes intensive reconstructive processing, such as inverse discrete cosine transformation, inverse quantization, and motion 10 compensation. Motion estimation and compensation are used for temporal compression functions. Spatial and temporal compression are repetitive functions with high computational requirements.

Further, the invention relates, for example, to 15 a process for performing spatial and temporal compression including discrete cosine transformation, quantization, entropy encoding, motion estimation, motion compensation, and prediction, and even more particularly to a system for accomplishing spatial 20 and temporal compression.

The first compression step is the elimination of spatial redundancy, for example, the elimination of spatial redundancy in a still picture of an "I" frame picture. Spatial redundancy is the redundancy within 25 a picture. The MPEG-2 Draft Standard is using a block based method of reducing spatial redundancy. The method of choice is the discrete cosine transformation, and discrete cosine transform coding of the picture. Discrete cosine transform coding is 30 combined with weighted scalar quantization and run length coding to achieve desirable compression.

The discrete cosine transformation is an orthogonal transformation. Orthogonal transformations, because they have a frequency domain interpretation, are filter bank oriented. The 5 discrete cosine transformation is also localized. That is, the encoding process samples on an 8x8 spatial window which is sufficient to compute 64 transform coefficients or sub-bands.

Another advantage of the discrete cosine 10 transformation is that fast encoding and decoding algorithms are available. Additionally, the sub-band decomposition of the discrete cosine transformation is sufficiently well behaved to allow effective use of psychovisual criteria.

15 After transformation, many of the frequency coefficients are zero, especially the coefficients for high spatial frequencies. These coefficients are organized into a zig-zag or alternate-scanned pattern, and converted into run-amplitude (run-level) 20 pairs. Each pair indicates the number of zero coefficients and the amplitude of the non-zero coefficient. This is coded in a variable length code.

Motion compensation is used to reduce or even 25 eliminate redundancy between pictures. Motion compensation exploits temporal redundancy by dividing the current picture into blocks, for example, macroblocks, and then searching in previously transmitted pictures for a nearby block with similar 30 content. Only the difference between the current block pels and the predicted block pels extracted

from the reference picture is actually compressed for transmission and thereafter transmitted.

The simplest method of motion compensation and prediction is to record the luminance and 5 chrominance, i.e., intensity and color, of every pixel in an "I" picture, then record changes of luminance and chrominance, i.e., intensity and color for every specific pixel in the subsequent picture. However, this is uneconomical in transmission medium 10 bandwidth, memory, processor capacity, and processing time because objects move between pictures, that is, pixel contents move from one location in one picture to a different location in a subsequent picture. A more advanced idea is to use a previous or subsequent 15 picture to predict where a block of pixels will be in a subsequent or previous picture or pictures, for example, with motion vectors, and to write the result as "predicted pictures" or "P" pictures. More particularly, this involves making a best estimate or 20 prediction of where the pixels or macroblocks of pixels of the i^{th} picture will be in the $i-1^{\text{th}}$ or $i+1^{\text{th}}$ picture. It is one step further to use both subsequent and previous pictures to predict where a 25 block of pixels will be in an intermediate or "B" picture.

To be noted is that the picture encoding order and the picture transmission order do not necessarily match the picture display order. See **Fig. 2**. For I-P-B systems the input picture transmission order is 30 different from the encoding order, and the input pictures must be temporarily stored until used for

encoding. A buffer stores this input until it is used.

For purposes of illustration, a generalized flowchart of MPEG compliant encoding is shown in **Fig.**

5 1. In the flowchart the images of the i^{th} picture and the $i+1^{\text{th}}$ picture are processed to generate motion vectors. The motion vectors predict where a macroblock of pixels will be in a prior and/or subsequent picture. The use of the motion vectors is
10 a key aspect of temporal compression in the MPEG standard. As shown in **Fig. 1** the motion vectors, once generated, are used for the translation of the macroblocks of pixels, from the i^{th} picture to the $i+1^{\text{th}}$ picture.

15 As shown in **Fig. 1**, in the encoding process, the images of the i^{th} picture and the $i+1^{\text{th}}$ picture are processed in the encoder 11 to generate motion vectors which are the form in which, for example, the $i+1^{\text{th}}$ and subsequent pictures are encoded and
20 transmitted. An input image 111 of a subsequent picture goes to the motion estimation unit 43 of the encoder. Motion vectors 113 are formed as the output of the motion estimation unit 43. These vectors are used by the motion compensation Unit 41 to retrieve
25 macroblock data from previous and/or future pictures, referred to as "reference" data, for output by this unit. One output of the motion compensation Unit 41 is negatively summed with the output from the motion estimation unit 43 and goes to the input of the
30 Discrete Cosine Transformer 21. The output of the discrete cosine transformer 21 is quantized in a quantizer 23. The output of the quantizer 23 is split

into two outputs, 121 and 131; one output 121 goes to a downstream element 25 for further compression and processing before transmission, such as to a run length encoder; the other output 131 goes through 5 reconstruction of the encoded macroblock of pixels for storage in frame memory 42. In the encoder shown for purposes of illustration, this second output 131 goes through an inverse quantization 29 and an inverse discrete cosine transform 31 to return a 10 lossy version of the difference macroblock. This data is summed with the output of the motion compensation unit 41 and returns a lossy version of the original picture to the frame memory 42.

As shown in **Fig. 2**, there are three types of 15 pictures. There are "Intra pictures" or "I" pictures which are encoded and transmitted whole, and do not require motion vectors to be defined. These "I" pictures serve as a reference image for motion estimation. There are "Predicted pictures" or "P" 20 pictures which are formed by motion vectors from a previous picture and can serve as a reference image for motion estimation for further pictures. Finally, there are "Bidirectional pictures" or "B" pictures which are formed using motion vectors from two other 25 pictures, one past and one future, and can not serve as a reference image for motion estimation. Motion vectors are generated from "I" and "P" pictures, and are used to form "P" and "B" pictures.

One method by which motion estimation is carried 30 out, shown in **Fig. 3**, is by a search from a macroblock 211 of an i^{th} picture throughout a region of the next picture to find the best match macroblock

213. Translating the macroblocks in this way yields
a pattern of macroblocks for the $i+1^{\text{th}}$ picture, as
shown in **Fig. 4**. In this way the i^{th} picture is
changed a small amount, e.g., by motion vectors and
5 difference data, to generate the $i+1^{\text{th}}$ picture. What
is encoded are the motion vectors and difference
data, and not the $i+1^{\text{th}}$ picture itself. Motion vectors
translate position of an image from picture to
picture, while difference data carries changes in
10 chrominance, luminance, and saturation, that is,
changes in shading and illumination.

Returning to **Fig. 3**, we look for a good match by
starting from the same location in the i^{th} picture as
in the $i+1^{\text{th}}$ picture. A search window is created in
15 the i^{th} picture. We search for a best match within
this search window. Once found, the best match motion
vectors for the macroblock are coded. The coding of
the best match macroblock includes a motion vector,
that is, how many pixels in the y direction and how
20 many pixels in the x direction is the best match
displaced in the next picture. Also encoded is
difference data, also referred to as the "prediction
error", which is the difference in chrominance and
luminance between the current macroblock and the best
25 match reference macroblock.

The operational functions of an MPEG-2 encoder
are discussed in detail in commonly assigned, co-
pending United States Patent Application Serial No.
08/831,157, by Carr et al., filed April 1, 1997,
30 entitled "Control Scheme For Shared-Use Dual-Port
Predicted Error Array," which is hereby incorporated
herein by reference in its entirety.

Encoder performance and picture quality are often enhanced today through the use of adaptive quantization. Examples of adaptive quantization are presented in co-pending, commonly assigned United States Patent Applications by Boroczky et al., entitled "Adaptive Real-Time Encoding of Video Sequence Employing Image Statistics," filed October 10, 1997, serial no. 08/948,442, and by Boice et al., entitled "Real-Time Variable Bit Rate Encoding of Video Sequence Employing Image Statistics," filed January 16, 1998, serial no. 09/008,282, both of which are hereby incorporated herein by reference in their entirety.

Adaptive quantization can be used to control the amount of data generated so that an average amount of data is output by the encoder and so that this average will match a specified bitrate. As one approach, video quality of a picture having a noisy video portion can be balanced by channeling bits from the noisy or high activity macroblocks to the normal portion of the picture. For example, sophisticated pre-processing might initially be used to determine how picture target bits are to be allocated among all the macroblocks of a picture having noisy video. However, there are 1350 macroblocks in a NTSC picture and 1440 macroblocks in a PAL picture, and the amount of preprocessing logic to accomplish this approach would require significant buffering and a large amount of computational intelligence.

As a preferred approach, presented herein is a novel design for dynamically balancing picture bit allocation within a highly contrasted picture having

normal video and noisy video sections as picture coding continues without significant buffering of the picture and without requiring large computational intelligence to accomplish balancing of the bit allocation.

Fig. 5 depicts one embodiment of a picture 250 of contrasted complexity having a random noise portion 260 and a normal video portion 270. As used in this application, a "contrasted picture" or "picture of contrasted complexity" means any picture having a first area of high or random activity and a second area of significantly lower activity. "Noisy video" is used herein to denote a picture or that portion of a picture having very high complexity, such as a picture portion having randomly moving dots of different color. "Normal video" is used to mean a picture or portion of a picture depicting, for example, a conventional motion picture image. **Fig. 5** is thus shown by way of example only and those skilled in the art will understand that a frame having contrasted complexity sections of "normal video" and "noisy video" can encompass many variations.

In accordance with this invention, the complexity of each input picture is statistically calculated as the picture is received by the encoder. This complexity measurement is tailored to indicate the degree of business or amount of detail within the picture. From picture complexity, an average complexity value for each macroblock can be determined. During the macroblock coding process, the encoder calculates the actual macroblock

complexity and alters the coding options in accordance with this invention when picture complexity is above a predefined, experimentally determined complexity threshold, and the specified 5 bitrate is lower than a predefined bitrate threshold. The complexity and bitrate thresholds can be selected experimentally by one skilled in the art in order to accomplish the objects of the present invention. Basically, this invention seeks to dynamically modify 10 the coding algorithm when the bitrate is too low for the material to be encoded given that the current picture has been statistically determined to comprise a picture having a noisy portion of very high activity.

15 Changes to the coding algorithm can include adjusting the macroblock coding type and modifying the quantization level. For example, once a contrasted picture is identified, the macroblock coding type is preferably biased towards being coded 20 predictive, that is, it requires a larger prediction error before a macroblock will be coded as intra. When the macroblock is coded as intra, the macroblock is thus truly different from the prior reference picture. Since intra macroblocks take many more bits 25 to code than predictive macroblocks, the quantization level of these macroblocks is also adjusted to conserve bits.

For example, a more precise quantization level can be determined from an activity value that is a 30 better representation of the macroblock to be encoded. The relative activity of each block in a macroblock is examined, and the block activity that

is exceptionally far from the rest is discarded. In one embodiment, the block activities can be prioritized and the smallest activity value is compared to the next smallest one. If the block with 5 the smallest amount of activity is one-half or less the block with the next smallest activity, and is one-half or less the average activity within the macroblock, then that block with the lowest activity is preferably ignored in the quantization level 10 calculation. The calculated quantization level can also be increased by a percentage determined from experiments. Again, the goal is to conserve bits when encoding macroblocks of the noisy video portion, thereby providing more bits for encoding macroblocks 15 within the normal video portion.

Fig. 6 depicts one embodiment of an encode system, generally denoted 300, in accordance with this invention. As shown, an input stream of video frames is conventionally buffered in frame memory 20 330. Controller 340 determines where a given input picture should be placed within the memory, as well as when to encode the picture. While buffered, preprocessing of the input stream by statistics gathering and analysis 310 is performed in accordance 25 with the invention. Pre-encode stage 310 gathers and analyzes statistics on each frame of the sequence of video frames to determine whether the frame has high complexity indicative of noisy video and places the below-described statistics into a stack 314. Stacking of input picture statistics is needed 30 because the GOP structure employed in MPEG encoding of a sequence of video frames may have to be reordered prior to encoding.

When a given frame is to be encoded, preprocessing 310 thus analyzes the frame to determine whether one or more encoding parameters should be adjusted on a macroblock level. As 5 described further below, adjustable parameters may include macroblock coding type and macroblock quantization level. This information is forwarded to the encoder engine 320 commensurate with retrieval of the frame to be compressed from memory 330. Unless 10 otherwise stated herein, encode engine 320 can comprise conventional MPEG compression processing as summarized initially herein.

By way of example, statistics analysis 310 determines whether the current frame has high 15 complexity by determining a statistic equal to an accumulation of the absolute values of differences between pairs of adjacent pixels in the frame. This accumulation is referred to herein as "PIX-DIFF". PIX-DIFF can be determined by imagining, for example, 20 the luminance data lines of the current picture concatenated to form a long line of luminance samples. Then for a given picture, the equation for the PIX-DIFF statistic might be:

$$25 \quad \text{PIX-DIFF} = \sum_{y=1,3,5}^{\text{Max}} |L_y - L_{y+1}|$$

Where: y is the pixel position number from "1" to the maximum number of pixels in the concatenated string of pixels. The PIX-DIFF statistic essentially 30 comprises finding the difference between two adjacent luminance pixels in this concatenated string of

luminance data for the frame and then summing the absolute values of those differences. As an alternative, PIX-DIFF could be defined as an accumulation of both luminance and chrominance data 5 for the current frame, or an accumulation of chrominance data only.

Fig. 7 depicts one embodiment for statistics gathering and analysis in accordance with this invention. Upon an input picture being available 10 500, statistics processing calculates picture complexity 510 by determining a PIX-DIFF value for the picture. A picture with a noisy portion of random detail will have a very high PIX-DIFF value, and thus high complexity. The calculated complexity 15 or PIX-DIFF is compared against an experimentally determined, predefined complexity threshold (TH 1) 520.

Applicants have discovered that in measuring the PIX-DIFF value for a normal video portion and 20 comparing it to video having a noisy portion, the noisy portion has a significantly higher PIX-DIFF value. Thus, if the PIX-DIFF for the frame is less than the predefined threshold, a noisy picture flag 25 is set to "0" 530, meaning that the picture comprises normal video only. However, if the complexity of the picture is high (meaning that the frame contains a noisy portion), then the target bitrate for the picture is examined. When the bitrate is high (for example, 50 Mbits), there may be sufficient bits to 30 encode even a picture with normal and noisy video portions. Conversely, if the bitrate for the frame is low, e.g., 4 Mbits, then there may be insufficient

bits to adequately encode the frame. Under this scenario, the encoding options are preferably modified in accordance with this invention. Thus, when the bitrate for the frame is greater than a 5 predefined bitrate threshold (TH 2), the noisy picture flag is set to "0" 530, and when the bitrate is less than this threshold, the noisy picture flag is set to "1" 550. The processing of **Fig. 7** thus results in the setting of a "noisy picture" flag to 10 either "0" or "1". In one embodiment, this flag can be within the statistics analysis 310 preprocessing (**Fig. 6**) and is accessible by the encoder engine 320 upon commencement of encoding of the current frame.

15 **Fig. 8** presents one embodiment for adapting encoding of a picture having a noisy video portion in accordance with the present invention. Picture encoding 600 begins by checking whether the noisy picture flag (**Fig. 7**) has been set 610. If the noisy picture flag is "0", then normal picture encoding 620 20 is employed. Upon completion of normal picture coding, the encode engine returns 630 to encode the next picture in a sequence of pictures.

25 On the other hand, if the noisy picture flag has been set, then the macroblock counter is set to "1" 640 and an activity level for each block in the first macroblock is determined 650. The four blocks of the macroblock are ordered based upon their activity level from minimum to maximum and an average block activity is determined from the four values.

30 If two times the minimum activity level of the blocks is less than the activity level of the next to

minimum block in the macroblock, and two times the minimum activity level in the macroblock is less than the average activity level of the blocks in the macroblock, then the macroblock activity is set to a 5 value equal to the activity level of the next to minimum block in the macroblock. Otherwise, the macroblock activity is set to the minimum activity level in the macroblock 660.

Once the macroblock activity level is set, it is 10 compared against a predefined activity threshold (TH 3) 670. If macroblock activity is below the threshold, then normal macroblock coding 680 is performed; and processing determines whether the macroblock count is at the maximum for the picture 15 720. If not, the macroblock count is incremented 730 and the activity level for the next macroblock in the picture is calculated. Otherwise, encode processing has been completed, and return is made to process a next picture in the sequence 740.

20 If the macroblock activity level is greater than the predefined activity threshold (TH 3), then motion estimation is performed 690 and the prediction error or macroblock difference (MBD) is evaluated. If the MBD for the macroblock is greater than, for example, 25 4096 (4k) and $2 \times (\text{MBD})$ is greater than the macroblock activity level, then the macroblock is coded as an intra (I) macroblock 700. Otherwise, the macroblock is coded as predictive. Once the coding type is determined, the quantization level is calculated 700. 30 The adjusted quantization level is preferably defined as:

ADJ QL=MIN((1 + 0.25 (TH2 - BR + 1))·CAL QL, MAX ALLOWED BY STANDARD)

Where: BR is the target bitrate for the macroblock;

5 TH2 is a predefined bitrate threshold;

CAL QL is the calculated quantization level for the macroblock; and

MAX ALLOWED BY STANDARD is the maximum quantization allowed by MPEG standard.

10 Essentially, the quantization level is increased in order to conserve bits when the macroblock has high activity. Once the quantization level is determined, it is employed in encoding the macroblock. The macroblock count is then evaluated to determine

15 whether all macroblocks in the picture have been encoded, and processing continues as described above.

Those skilled in the art will note from the description provided herein that processing in accordance with the present invention prevents noisy macroblocks or blocks with random details from consuming all or most of the picture bits, which in turn prevents overproduction of bits before the encoder reaches the bottom of the picture. This invention essentially directs encoding bits from the random, busy macroblocks to the simpler, normal macroblocks. Less bits are used in the highly active and fine detailed area, and thereby a more constant picture quality is obtained.

The present invention can be included, for

30 example, in an article of manufacture (e.g., one or

more computer program products) having, for instance, computer usable media. This media has embodied therein, for instance, computer readable program code means for providing and facilitating the capabilities 5 of the present invention. The articles manufactured can be included as part of the computer system or sold separately.

The flow diagrams depicted herein are provided by way of example. There may be variations to these 10 diagrams or the steps or operations described herein without departing from the spirit of the invention. For instance, in certain cases the steps may be performed in differing order, or steps may be added, deleted or modified. All these variations are 15 considered to comprise part of the present invention as recited in the appended claims.

While the invention has been described in detail herein in accordance with certain preferred embodiments thereof, many modifications and changes 20 therein may be affected by those skilled in the art. Accordingly, it is intended by the appended claims to cover all such modifications and changes as fall within the true spirit and scope of the invention.

Claims

1 1. A method for encoding a frame having a
2 noisy portion, said frame comprising a plurality of
3 macroblocks, said method comprising for each
4 macroblock of said plurality of macroblocks:

5 (i) determining a macroblock activity
6 level;

7 (ii) determining when said macroblock
8 activity level exceeds a predefined threshold,
9 wherein said macroblock activity level exceeding
10 said predefined threshold indicates that said
11 macroblock is associated with said noisy portion
12 of said frame; and

13 (iii) adjusting encoding of said
14 macroblock when said macroblock activity level
15 exceeds said predefined threshold to conserve
16 bits used in encoding said macroblock and
17 thereby save bits otherwise used to encode said
18 noisy portion of said frame.

1 2. The method of claim 1, wherein said frame
2 further comprises a normal portion, and wherein said
3 method comprises using said saved bits from said
4 noisy portion of said frame to encode macroblocks
5 associated with said normal portion of said frame.

1 3. The method of claim 1, wherein each
2 macroblock of said plurality of macroblocks comprises
3 multiple blocks, and wherein said determining (i)
4 comprises determining an activity level for each
5 block of said multiple blocks of said macroblock, and
6 deriving therefrom an activity level for said
7 macroblock.

1 4. The method of claim 3, wherein said
2 deriving comprises ordering activity levels of said
3 multiple blocks of said macroblock and comparing a
4 minimum activity level of said order with a next to
5 minimum activity level of said order to derive said
6 activity level for said macroblock.

1 5. The method of claim 4, wherein said
2 comparing further comprises comparing said minimum
3 activity level of said order with an average activity
4 level of said multiple blocks of said macroblock to
5 derive said activity level for said macroblock.

1 6. The method of claim 5, wherein said
2 comparing comprises determining whether said minimum
3 activity level is less than one-half said next to
4 minimum activity level and whether said minimum
5 activity level is less than one-half said average
6 activity level of said multiple blocks, and when both
7 are so, defining said activity level of said
8 macroblock as said next to minimum activity level of
9 said order, otherwise defining said activity level of
10 said macroblock as said minimum activity level of
11 said order.

1 7. The method of claim 1, wherein said
2 adjusting encoding (iii) comprises performing motion
3 estimation on said macroblock and selectively
4 adjusting macroblock coding type for said macroblock
5 to bias said macroblock towards being coded
6 predictive when said macroblock activity level
7 exceeds said predefined threshold, said selectively
8 adjusting being with reference to a predictive error
9 value resulting from said performing motion
10 estimation on said macroblock.

1 8. The method of claim 7, wherein said
2 selectively adjusting comprises determining when said
3 predictive error is greater than a second predefined
4 threshold and said predictive error is greater than
5 one-half said macroblock activity level, and when
6 both are so, adjusting a macroblock coding type
7 parameter to bias said macroblock towards being coded
8 predictive.

1 9. The method of claim 1, wherein said
2 adjusting encoding (iii) comprises determining an
3 adjusted quantization level for use in encoding said
4 macroblock, said adjusted quantization level being
5 determined to conserve bits used in encoding said
6 macroblock when said macroblock activity level
7 exceeds said predefined threshold.

1 10. The method of claim 9, wherein said
2 determining of said adjusted quantization level
3 comprises calculating a quantization level (CAL QL)
4 for said macroblock and defining said adjusted
5 quantization level (ADJ QL) as:

6 $ADJ\ QL = \min((1 + 0.25 \cdot (TH2 - BR + 1)) \cdot CAL\ QL; MAX\ ALLOWED\ BY\ STANDARD)$

8 Where: BR is the target bitrate;
9 TH2 is a second predefined value; and
10 MAX QL ALLOWED BY STANDARD is a maximum
11 quantization level allowed by MPEG standard.

1 11. The method of claim 1, wherein said frame
2 comprises one frame of a sequence of frames, and said
3 method further comprises initially determining for
4 each frame of said sequence of frames whether said
5 frame includes said noisy portion.

1 12. The method of claim 11, wherein said
2 determining whether said frame comprises said noisy
3 portion includes calculating a frame complexity value
4 and comparing said frame complexity value to a
5 predefined complexity threshold.

1 13. The method of claim 12, wherein said frame
2 comprises a plurality of pixels, and wherein each
3 pixel of said frame comprises a multi-bit value, and
4 wherein said frame complexity value comprises an
5 accumulated absolute difference value (PIX-DIFF)
6 derived from adjacent pixels of said plurality of
7 pixels of said frame.

1 14. The method of claim 13, wherein said PIX-
2 DIFF is defined as:

3

$$4 \quad \sum_{y=1,3,5.}^{\text{Max}} |L_y - L_{y+1}|$$

5

6 Where: L represents luminance value of a pixel,
7 and y represents pixel position within the
8 frame.

1 15. The method of claim 13, further comprising
2 setting a noisy picture flag to "0" when said frame
3 complexity value is less than said predefined
4 complexity threshold.

1 16. The method of claim 13, wherein said
2 determining whether said frame comprises said noisy
3 portion further includes comparing a target bitrate
4 for said frame to a predefined bitrate threshold and
5 when said target bitrate for said frame exceeds said
6 predefined bitrate threshold, said method further
7 comprises setting a noisy picture flag equal to "0",
8 and if said target bitrate is less than said
9 predefined bitrate threshold, then setting said noisy
10 picture flag to "1", wherein said "1" noisy picture
11 flag setting indicates said frame includes said noisy
12 portion.

1 17. A method for encoding a frame of a sequence
2 of frames, each frame having a plurality of
3 macroblocks, said method comprising:

4 determining whether said frame includes a
5 random noise portion; and

6 when said frame includes said random noise
7 portion, evaluating each macroblock of said
8 plurality of macroblocks in said frame and
9 adjusting encoding of at least some macroblocks
10 thereof within said random noise portion of said
11 frame, said adjusting comprising reducing bits
12 used in encoding said at least some macroblocks
13 within said random noise portion.

1 18. The method of claim 17, wherein each frame
2 of the sequence of frames comprises a plurality of
3 pixels, each pixel of each frame comprising a multi-
4 bit value, and wherein said determining whether said
5 frame includes said random noise portion includes
6 calculating a frame complexity value and comparing
7 said frame complexity value to a predefined
8 complexity threshold, said calculating of said frame
9 complexity value including deriving an accumulated
10 absolute difference (PIX-DIFF) from adjacent pixels
11 of said plurality of pixels of said frame.

1 19. The method of claim 18, wherein said
2 deriving of said PIX-DIFF comprises forming a string
3 of pixels by concatenating said plurality of pixels
4 of said frame and defining PIX-DIFF as:

5

$$6 \quad \sum_{y=1,3,5}^{\text{Max}} |L_y - L_{y+1}|$$

7

8 Where: L represents luminance value of a pixel,
9 and y represents pixel position within the
10 string of pixels.

1 20. The method of claim 18, wherein when said
2 frame complexity value is less than said predefined
3 complexity threshold, said method further comprises
4 setting a noisy picture flag to "0" and performing
5 normal encoding on said frame, and wherein when said
6 frame complexity value is greater than said
7 predefined complexity threshold, said method further
8 comprises determining whether a target bitrate of
9 said frame is less than a predefined bitrate
10 threshold, wherein when said target bitrate of said
11 frame exceeds said predefined bitrate threshold, said
12 method comprises setting said noisy picture flag to
13 "0", and when said target bitrate of said frame is
14 less than said predefined bitrate threshold, said
15 method comprises setting said noisy picture flag to
16 "1", wherein said "1" noisy picture flag setting
17 indicates that said frame includes said random noise
18 portion.

1 21. The method of claim 17, wherein said
2 evaluating comprises for each macroblock determining
3 a macroblock activity level and determining when said
4 macroblock activity level exceeds a predefined
5 activity threshold, wherein said macroblock activity
6 level exceeding said predefined activity threshold
7 indicates that said macroblock is within said random
8 noise portion of said frame.

1 22. The method of claim 21, wherein said
2 adjusting encoding comprises performing motion
3 estimation on said macroblock and selectively
4 adjusting macroblock coding type for said macroblock
5 to bias said macroblock towards being coded
6 predictive when said macroblock activity level
7 exceeds said predefined activity threshold, said
8 selectively adjusting being with reference to a
9 predictive error value resulting from said performing
10 motion estimation on said macroblock, and further
11 comprising determining an adjusted quantization level
12 for said macroblock for use in encoding said
13 macroblock, said adjusted quantization level being
14 determined to reduce bits used in encoding said
15 macroblock.

1 23. The method of claim 17, wherein said frame
2 further includes a normal video portion, and said
3 reducing bits comprises conserving bits used in
4 encoding said at least some macroblocks within said
5 random noise portion for use within said normal video
6 portion of said frame.

1 24. A system for encoding a frame having a
2 noisy portion, said frame comprising a plurality of
3 macroblocks, said system comprising:

4 (i) means for determining a macroblock
5 activity level;

6 (ii) means for determining when said
7 macroblock activity level exceeds a predefined
8 threshold, wherein said macroblock activity
9 level exceeding said predefined threshold
10 indicates that said macroblock is associated
11 with said noisy portion of said frame; and

12 (iii) means for adjusting encoding of said
13 macroblock when said macroblock activity level
14 exceeds said predefined threshold to conserve
15 bits used in encoding said macroblock and
16 thereby save bits otherwise used to encode said
17 noisy portion of said frame.

1 25. The system of claim 24, wherein said frame
2 further comprises a normal portion, and wherein said
3 system comprises means for using said saved bits from
4 said noisy portion of said frame to encode
5 macroblocks associated with said normal portion of
6 said frame.

1 26. The system of claim 24, wherein each
2 macroblock of said plurality of macroblocks comprises
3 multiple blocks, and wherein said means for
4 determining (i) comprises means for determining an
5 activity level for each block of said multiple blocks
6 of said macroblock, and means for ordering activity
7 levels of said multiple blocks of said macroblock and
8 comparing a minimum activity level of said order with
9 a next to minimum activity level of said order to
10 derive an activity level for said macroblock.

1 27. The system of claim 26, wherein said means
2 for comparing comprises means for determining whether
3 said minimum activity level is less than one-half
4 said next to minimum activity level and whether said
5 minimum activity level is less than one-half an
6 average activity level of said multiple blocks, and
7 when both are true, for defining said activity level
8 of said macroblock as said next to minimum activity
9 level in said macroblock, otherwise for defining said
10 activity level of said macroblock as said minimum
11 activity level of said order.

1 28. The system of claim 24, wherein said means
2 for adjusting encoding (iii) comprises means for
3 performing motion estimation on said macroblock and
4 for selectively adjusting macroblock coding type for
5 said macroblock to bias said macroblock towards being
6 coded predictive when said macroblock activity level
7 exceeds said predefined threshold, said selectively
8 adjusting being with reference to a predictive error
9 value resulting from said performing of motion
10 estimation on said macroblock.

1 29. The system of claim 28, wherein said means
2 for selectively adjusting comprises means for
3 determining when said predictive error is greater
4 than a second predefined threshold and when said
5 predictive error is greater than one-half said
6 macroblock activity level, and when both are so, said
7 means for selectively adjusting comprises means for
8 adjusting a macroblock coding type parameter to bias
9 said macroblock towards being coded predictive.

1 30. The system of claim 29, wherein said means
2 for adjusting encoding (iii) further comprises means
3 for determining an adjusted quantization level for
4 use in encoding said macroblock, said adjusted
5 quantization level being determined to conserve bits
6 used in encoding said macroblock when said macroblock
7 activity level exceeds said predefined threshold.

1 31. A system for encoding a frame of a sequence
2 of frames, each frame having a plurality of
3 macroblocks, said system comprising:

4 a pre-encode processing unit for
5 determining whether said frame includes a random
6 noise portion; and

7 a control and encode unit for evaluating
8 each macroblock of said plurality of macroblocks
9 in said frame when said frame includes said
10 random noise portion, said control and encode
11 unit including means for adjusting encoding of
12 at least some macroblocks within said random
13 noise portion of said frame to reduce bits used
14 in encoding said at least some macroblocks
15 within said random noise portion.

1 32. The system of claim 31, wherein each frame
2 of the sequence of frames comprises a plurality of
3 pixels, each pixel of each frame comprising a multi-
4 bit value, and wherein said pre-encode processing
5 unit comprises means for deriving a frame complexity
6 value and for comparing said frame complexity value
7 to a predefined complexity threshold, said means for
8 deriving of said frame complexity value including
9 means for deriving an accumulated absolute difference
10 (PIX-DIFF) from adjacent pixels of said plurality of
11 pixels of said frame.

1 33. The system of claim 32, wherein when said
2 frame complexity value is less than said predefined
3 complexity threshold, said pre-encode processing unit
4 further comprises means for setting a noisy picture
5 flag to "0" and performing normal encoding on said
6 frame, and when said frame complexity value is
7 greater than said predefined complexity threshold,
8 said pre-encode processing unit comprises means for
9 determining whether a target bitrate of said frame is
10 less than a predefined bitrate threshold, and when
11 said target bitrate of said frame exceeds said
12 predefined bitrate threshold, said pre-encode
13 processing unit comprises means for setting said
14 noisy picture flag to "0", and when said target
15 bitrate of said frame is less than said predefined
16 bitrate threshold, said pre-encode processing unit
17 comprises means for setting said noisy picture flag
18 to "1", wherein said "1" noisy picture flag setting
19 indicates that said frame includes said random noise
20 portion.

1 34. The system of claim 33, wherein said
2 control and encode unit further comprises means for
3 determining for each macroblock a macroblock activity
4 level and for determining when said macroblock
5 activity level exceeds a predefined activity
6 threshold, wherein said macroblock activity level
7 exceeding said predefined activity threshold
8 indicates that said macroblock is within said random
9 noise portion of said frame.

1 35. The system of claim 34, wherein said means
2 for adjusting encoding comprises means for performing
3 motion estimation on said macroblock and means for
4 selectively adjusting macroblock coding type for said
5 macroblock to bias said macroblock towards being
6 coded predictive when said macroblock activity level
7 exceeds said predefined activity threshold, said
8 means for selectively adjusting being with reference
9 to a predictive error value resulting from performing
10 motion estimation on said macroblock, and wherein
11 said control and encode unit further comprises means
12 for determining an adjusted quantization level for
13 said macroblock for use in encoding said macroblock,
14 said adjusted quantization level being determined to
15 reduce bits used in encoding said macroblock.

1 36. The system of claim 35, wherein said frame
2 further includes a normal video portion, and said
3 means for adjusting encoding comprises means for
4 conserving bits used in encoding said at least some
5 macroblocks within said random noise portion for use
6 in encoding macroblocks within said normal video
7 portion of said frame.

1 37. A computer program product comprising a
2 computer usable medium having computer readable
3 program code means therein for use in encoding a
4 frame having a noisy portion, said frame comprising a
5 plurality of macroblocks, said computer readable
6 program code means in said computer program product
7 comprising for each macroblock of said plurality of
8 macroblocks:

9 computer readable program code means for
10 causing a computer to affect determining a
11 macroblock activity level;

12 computer readable program code means for
13 causing a computer to affect determining when
14 said macroblock activity level exceeds a
15 predefined threshold, wherein said macroblock
16 activity level exceeding said predefined
17 threshold indicates that said macroblock is
18 associated with said noisy portion of said
19 frame; and

20 computer readable program code means for
21 causing a computer to affect adjusting encoding
22 of said macroblock when said macroblock activity
23 level exceeds said predefined threshold to
24 conserve bits used in encoding said macroblock
25 and thereby save bits otherwise used to encode
26 said noisy portion of said frame.

1 38. A computer program product comprising
2 computer usable medium having computer readable
3 program code means therein for use in encoding a
4 frame of a sequence of frames, each frame having a
5 plurality of macroblocks, said computer readable
6 program code means in said computer program product
7 comprising:

8 computer readable program code means for
9 causing a computer to affect determining whether
10 said frame includes a random noise portion; and

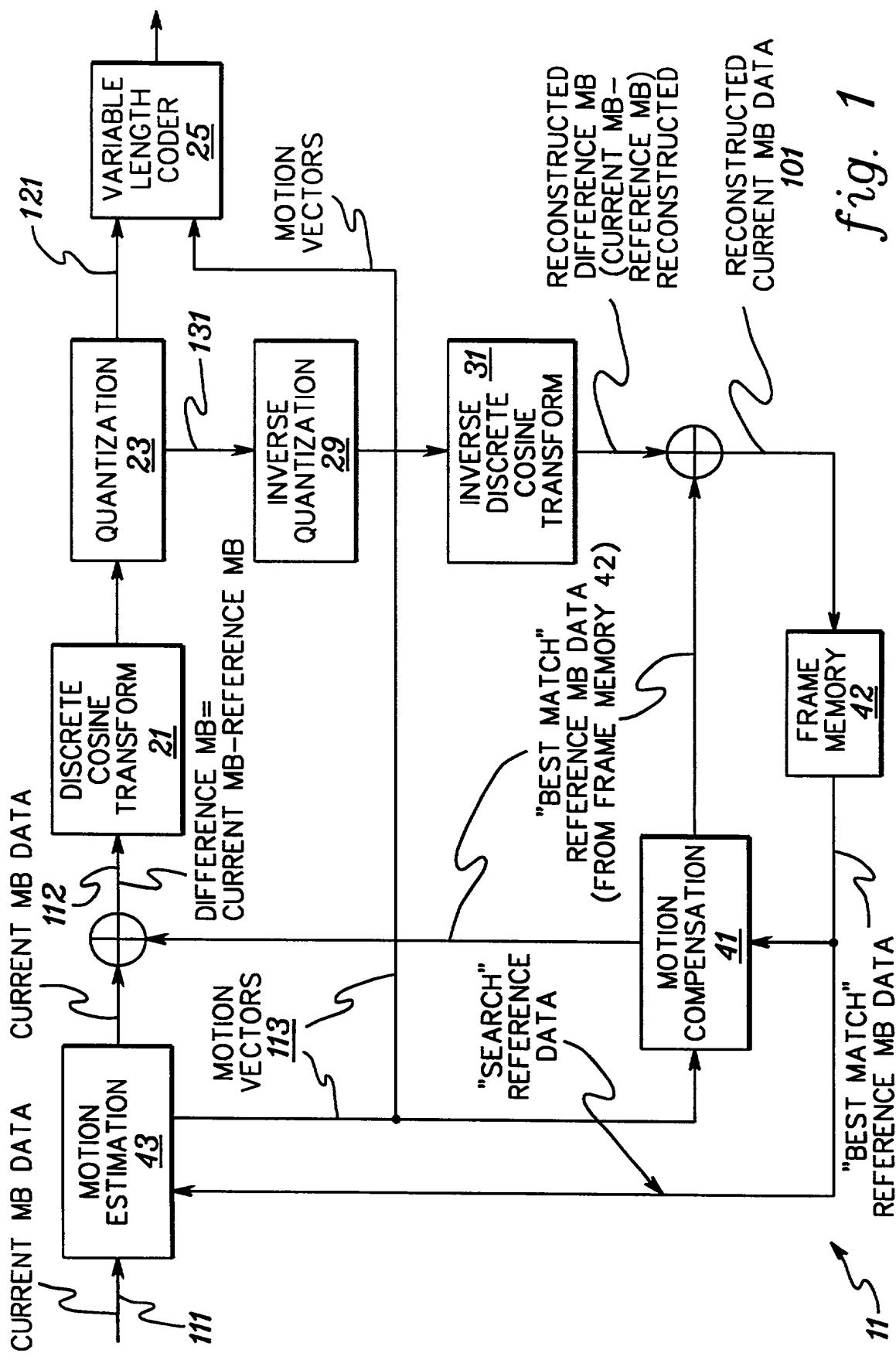
11 computer readable program code means for
12 causing a computer to affect evaluating each
13 macroblock of said plurality of macroblocks in
14 said frame and when said frame includes said
15 random noise portion, adjusting encoding of at
16 least some macroblocks within said random noise
17 portion of said frame, said adjusting comprising
18 reducing bits used in encoding said at least
19 some macroblocks within said random noise
20 portion.

* * * * *

ADAPTIVELY ENCODING A PICTURE OF
CONTRASTED COMPLEXITY HAVING NORMAL
VIDEO AND NOISY VIDEO PORTIONS

Abstract of the Disclosure

5 A technique is provided for adaptively encoding
in hardware, software or a combination thereof a
sequence of frames in real time, wherein one or more
of the frames includes a random noise portion. The
technique includes using statistics analysis to
10 determine whether a current frame includes a random
noise portion, and if so, to evaluate and dynamically
encode each macroblock thereof based on activity
level of the macroblock. Evaluating macroblock
activity level includes determining whether its
15 activity level exceeds a predefined threshold
indicative of random noise. The macroblock is
adaptively encoded by adjusting one or more coding
parameters if the macroblock activity level is
excessive and its target bitrate is low. For
20 example, when the macroblock is within the random
noise portion of the frame, the macroblock is biased
towards being coded predictive and an adjusted
quantization level is calculated to conserve bits
used in encoding the macroblock, thereby moving
25 encode bits from macroblocks within the random noise
portion of the frame to macroblocks within the normal
portion of the frame.



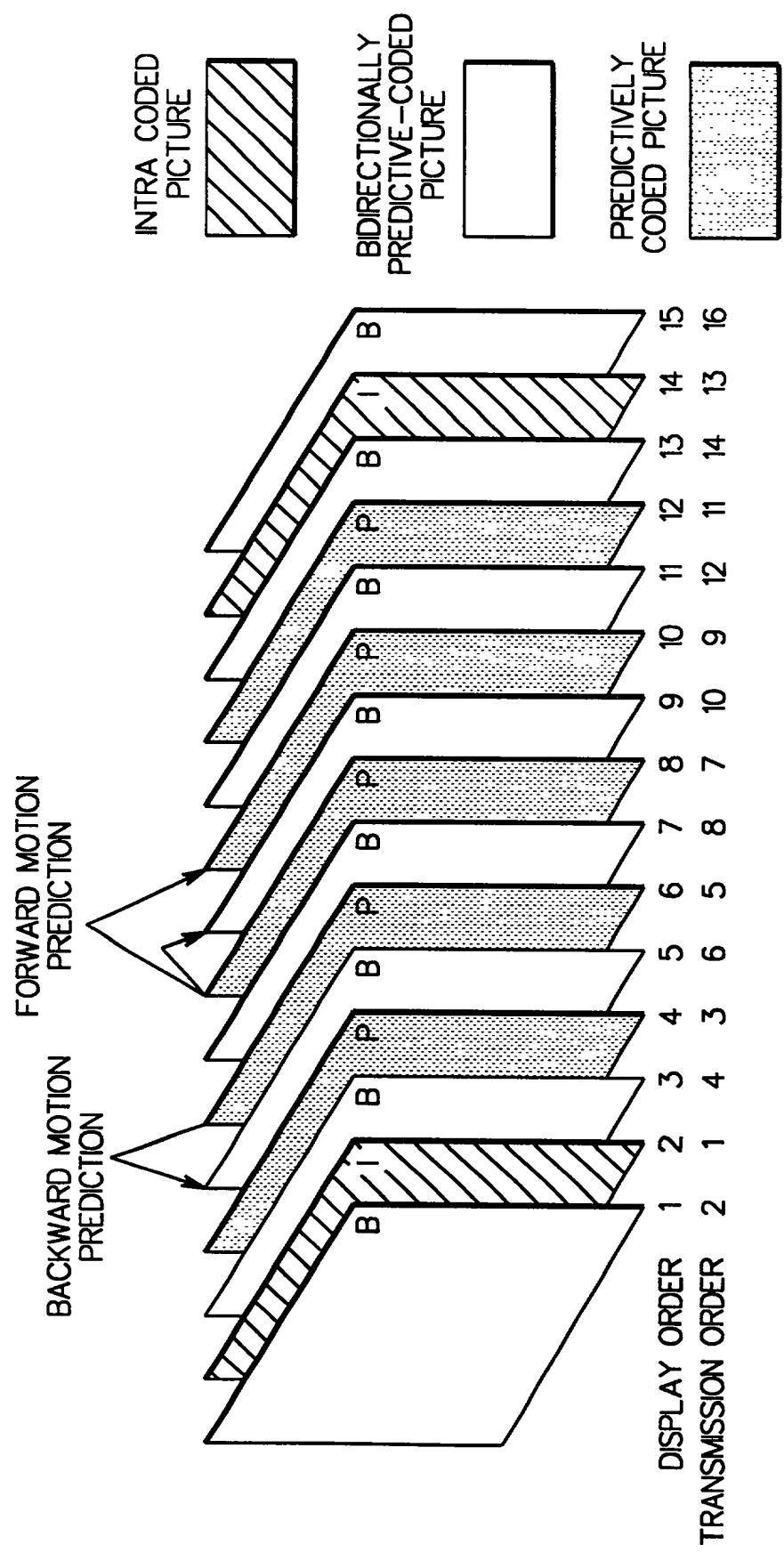
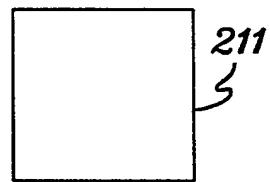


fig. 2

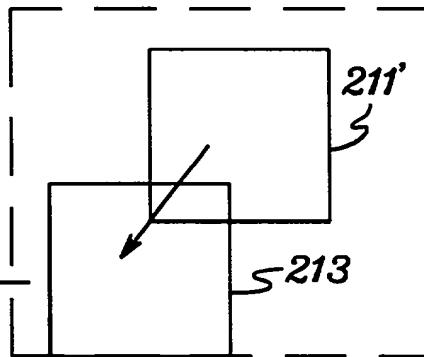
CURRENT FRAME



MOTION
ESTIMATION
BLOCK

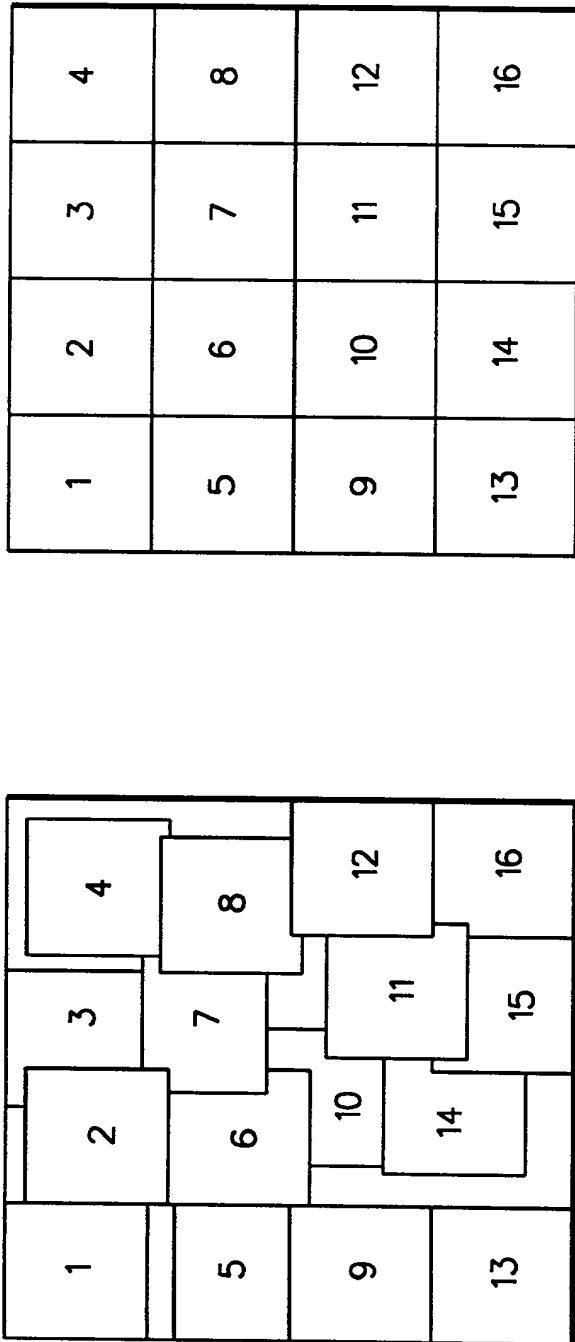
NEIGHBORING FRAME

BEST
MATCHING
BLOCK



SEARCHING AREA CENTERED ON
GIVEN BLOCK IN CURRENT FRAME

fig. 3



BLOCKS OF PREVIOUS PICTURE
USED TO PREDICT CURRENT PICTURE

CURRENT PICTURE AFTER USING
MOTION VECTORS TO ADJUST
PREVIOUS PICTURE BLOCK POSITIONS

fig. 4

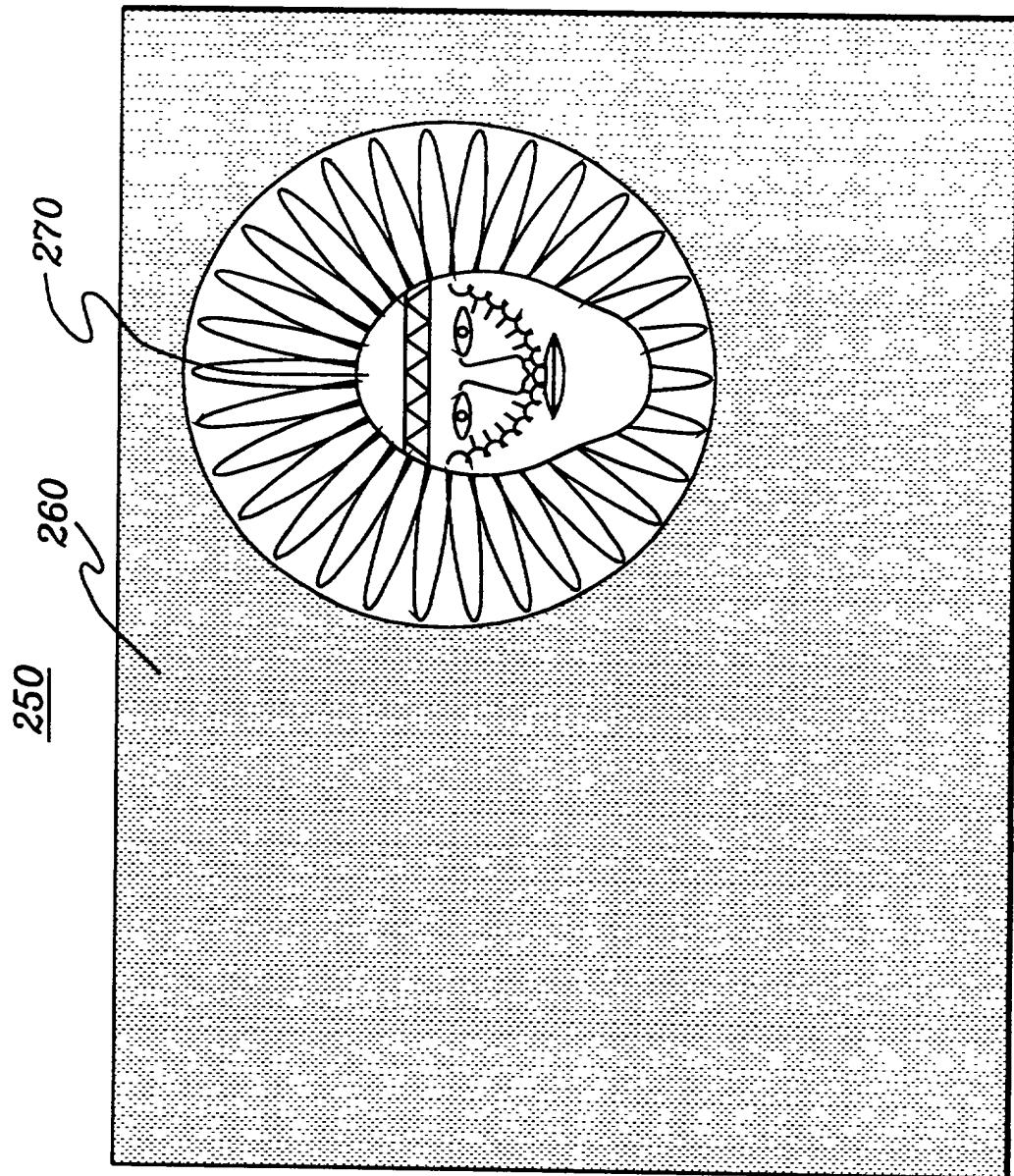


fig. 5

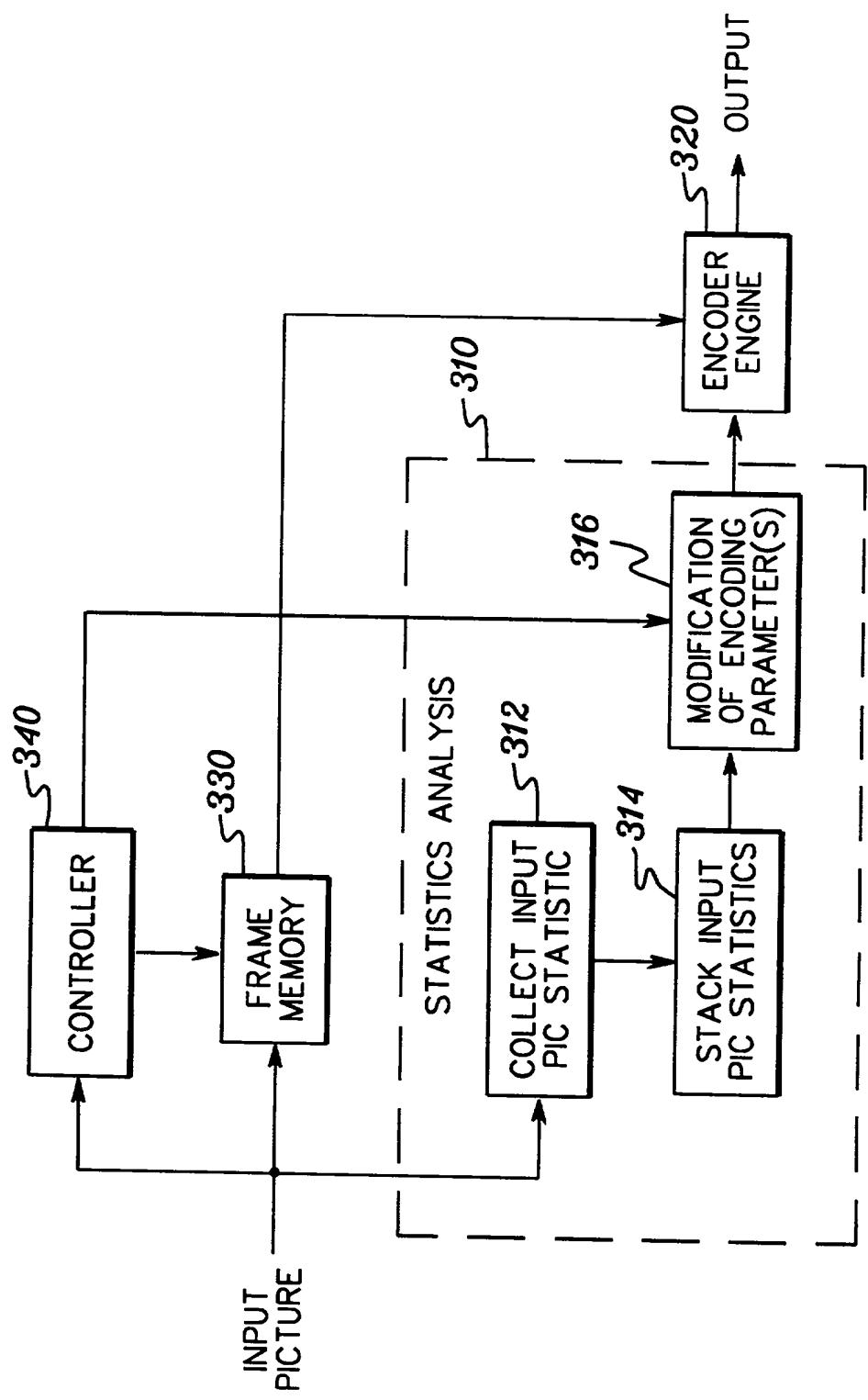


fig. 6

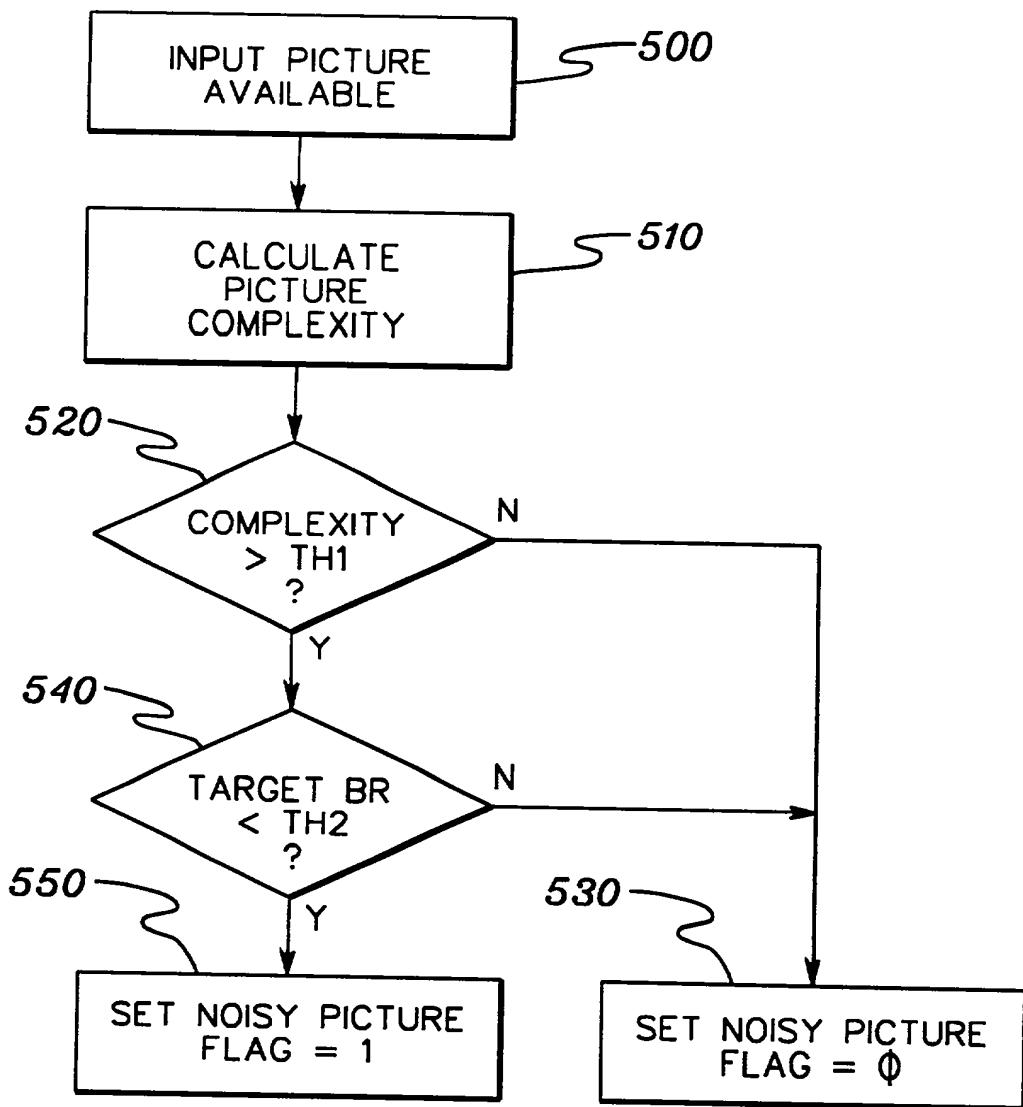


fig. 7

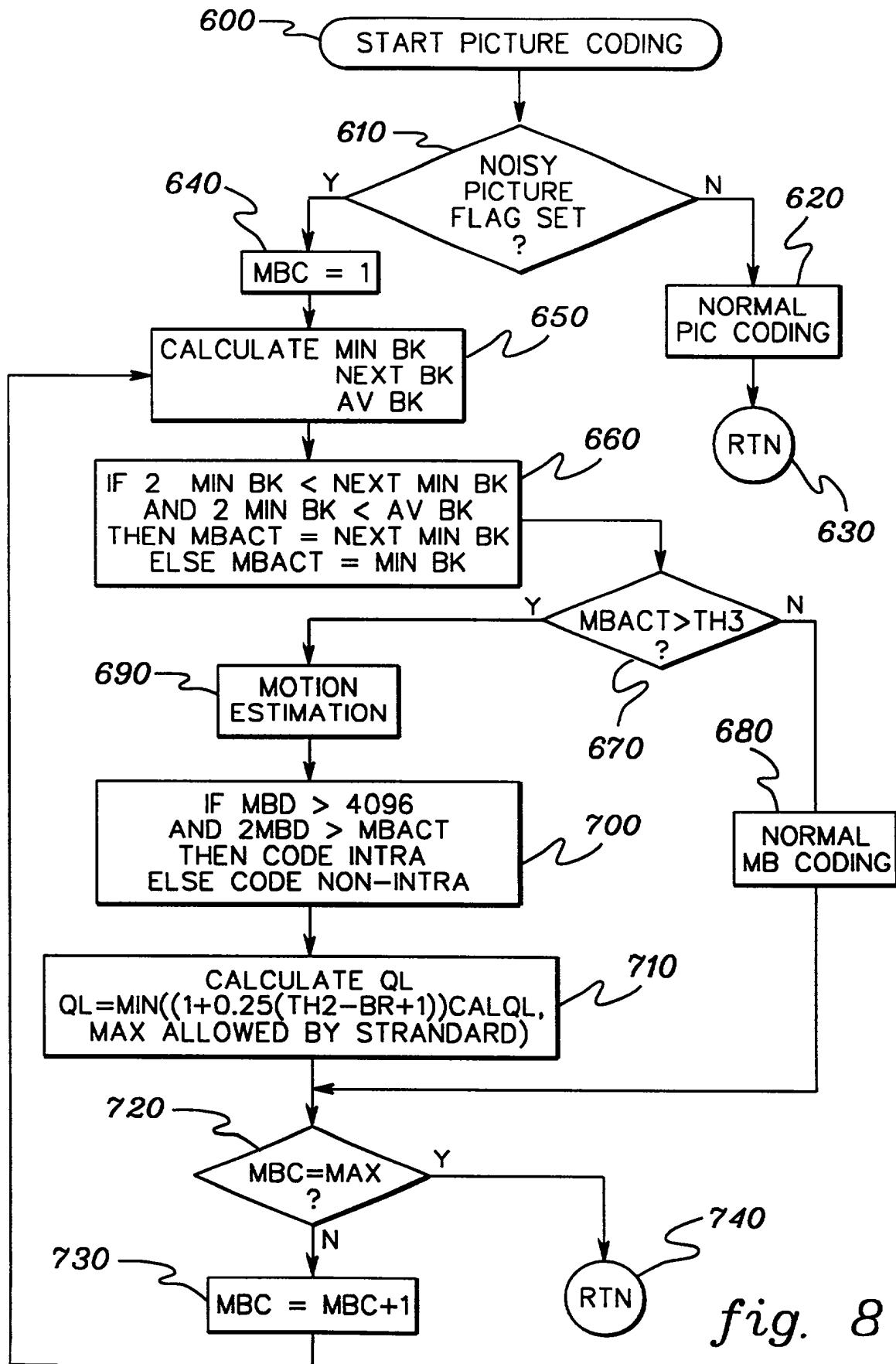


fig. 8

Docket No.
EN998028

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

ADAPTIVELY ENCODING A PICTURE OF CONTRASTED COMPLEXITY HAVING NORMAL VIDEO AND NOISY VIDEO PORTIONS

the specification of which

(check one)

is attached hereto.

was filed on

as United States Application No. or PCT International

Application Number

and was amended on

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

NONE

(Number)

(Country)

(Day/Month/Year Filed)

(Number)

(Country)

(Day/Month/Year Filed)

(Number)

(Country)

(Day/Month/Year Filed)

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

NONE

(Application Serial No.) (Filing Date)

(Application Serial No.) (Filing Date)

(Application Serial No.) (Filing Date)

I hereby claim the benefit under 35 U.S.C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C.F.R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

NONE

(Application Serial No.) (Filing Date) (Status)
(patented, pending, abandoned)

(Application Serial No.) (Filing Date) (Status)
(patented, pending, abandoned)

(Application Serial No.) (Filing Date) (Status)
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

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189 Dorothy Street, Endicott, NY 13760

Citizenship

U.S.A.

Post Office Address

same as residence

Date

3-19-98

Full name of sixth inventor, if any

Sixth inventor's signature

Date

Residence

Citizenship

Post Office Address